



PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration  
Submitted  
With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number 36287-04401

First Named Inventor FRIEDMAN, et al.

**COMPLETE IF KNOWN**

Application Number 10/614,137

Filing Date July 7, 2003

Group Art Unit TBA

Examiner Name TBA

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND SYSTEM FOR TRANSFER OF EMPLOYEE STOCK OPTIONS**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

July 7, 2003

as United States Application Number or PCT International

Application Number 10/614,137 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

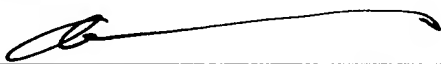
[Page 1 of 3]

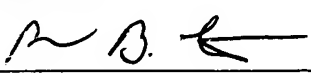
Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NY2:#4516221

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

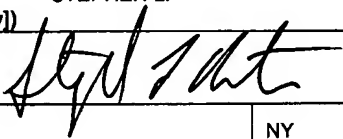
Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label				27171		OR <input type="checkbox"/> Correspondence address below	
Chris L. Holm, Esq.							
<b>Name</b>							
1 Chase Manhattan Plaza							
<b>Address</b>							
New York			NY		10005-1413		
<b>City</b>			<b>State</b>		<b>ZIP</b>		
USA			(212) 530-5000		(212) 530-5219		
<b>Country</b>			<b>Telephone</b>		<b>Fax</b>		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
<b>NAME OF SOLE OR FIRST INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name ALLEN R. (first and middle [if any])				Family Name FRIEDMAN or Surname			
Inventor's Signature 				Date 10/9/03			
Teaneck		NJ		USA		US	
<b>Residence: City</b>		<b>State</b>		<b>Country</b>		<b>Citizenship</b>	
315 Johnson Avenue							
<b>Mailing Address</b>							
Teaneck		NJ		07666		USA	
<b>City</b>		<b>State</b>		<b>Zip</b>		<b>Country</b>	
<b>NAME OF SECOND INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name STEPHEN E. (first and middle [if any])				Family Name GRAY or Surname			
Inventor's Signature				Date			
Madison		NJ		USA		US	
<b>Residence: City</b>		<b>State</b>		<b>Country</b>		<b>Citizenship</b>	
20 Lawrence Rd.							
<b>Mailing Address</b>							
Madison		NJ		07940		USA	
<b>City</b>		<b>State</b>		<b>Zip</b>		<b>Country</b>	

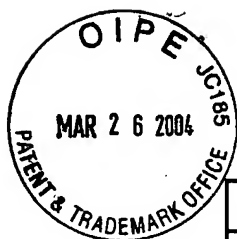
<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
BENJAMIN B.		LOPATA	
Inventor's Signature		Date	
		9/4/03	
Forest Hills	NY	USA	US
Residence: City	State	Country	Citizenship
112-03 68 <sup>th</sup> Avenue			
Mailing Address			
Forest Hills	NY	11375	USA
City	State	Zip	Country
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
STEPHEN L.		ROTI	
Inventor's Signature		Date	
Woodmere	NY	USA	US
Residence: City	State	Country	Citizenship
33 Clubside Drive			
Mailing Address			
Woodmere	NY	11598	USA
City	State	Zip	Country
<b>NAME OF FIFTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
DAVID A.		SEAMAN	
Inventor's Signature		Date	
Short Hills	NJ	USA	US
Residence: City	State	Country	Citizenship
28 Martindale Rd.			
Mailing Address			
Short Hills	NJ	07078	USA
City	State	Zip	Country
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			



## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label 27171 OR <input type="checkbox"/> Correspondence address below			
Chris L. Holm, Esq. <b>Name</b>			
1 Chase Manhattan Plaza <b>Address</b>			
New York <b>City</b>	NY <b>State</b>	10005-1413 <b>ZIP</b>	
USA <b>Country</b>	(212) 530-5000 <b>Telephone</b>	(212) 530-5219 <b>Fax</b>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name ALLEN R. (first and middle [if any])		Family Name FRIEDMAN or Surname	
Inventor's Signature		Date	
Teaneck <b>Residence: City</b>	NJ <b>State</b>	USA <b>Country</b>	US <b>Citizenship</b>
315 Johnson Avenue <b>Mailing Address</b>			
Teaneck <b>City</b>	NJ <b>State</b>	07666 <b>Zip</b>	USA <b>Country</b>
<b>NAME OF SECOND INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name STEPHEN E. (first and middle [if any])		Family Name GRAY or Surname	
Inventor's Signature <i>Stephen E. Gray</i>		Date 10/9/03	
Madison <b>Residence: City</b>	NJ <b>State</b>	USA <b>Country</b>	US <b>Citizenship</b>
20 Lawrence Rd. <b>Mailing Address</b>			
Madison <b>City</b>	NJ <b>State</b>	07940 <b>Zip</b>	USA <b>Country</b>

<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) BENJAMIN B.		Family Name or Surname LOPATA	
Inventor's Signature		Date	
Forest Hills Residence: City	NY State	USA Country	US Citizenship
112-03 68 <sup>th</sup> Avenue Mailing Address			
Forest Hills City	NY State	11375 Zip	USA Country
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) STEPHEN L.		Family Name or Surname ROTI	
Inventor's Signature 		Date 9/4/03	
Woodmere Residence: City	NY State	USA Country	US Citizenship
33 Clubside Drive Mailing Address			
Woodmere City	NY State	11598 Zip	USA Country
<b>NAME OF FIFTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) DAVID A.		Family Name or Surname SEAMAN	
Inventor's Signature		Date	
Short Hills Residence: City	NJ State	USA Country	US Citizenship
28 Martindale Rd. Mailing Address			
Short Hills City	NJ State	07078 Zip	USA Country
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			



<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
BENJAMIN B.		LOPATA	
Inventor's Signature		Date	
Forest Hills		NY	USA
Residence: City		State	Country
112-03 68 <sup>th</sup> Avenue		US	
Mailing Address		Citizenship	
Forest Hills		NY	11375
City		State	Zip
		USA	
		Country	
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
STEPHEN L.		ROTI	
Inventor's Signature		Date	
Woodmere		NY	USA
Residence: City		State	Country
33 Clubside Drive		US	
Mailing Address		Citizenship	
Woodmere		NY	11598
City		State	Zip
		USA	
		Country	
<b>NAME OF FIFTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
DAVID A.		SEAMAN	
Inventor's Signature		Date	
<i>David A Seaman</i>		9/4/03	
Short Hills		NJ	USA
Residence: City		State	Country
28 Martindale Rd.		US	
Mailing Address		Citizenship	
Short Hills		NJ	07078
City		State	Zip
		USA	
		Country	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			